

The Mandya District Co-operative Central Bank Ltd., Mandya
Haed office, PB no.14, Market Road, Mandya-571401, Karnataka State. India
Phone: +91 (08232)-226010/226011
E-mail: it.sec@mandyadccb.com
Website: www.mandyadccb.com

Ref no: MDCCB/COMP/51/2022-23

Date: 23-11-2022

Short Time Tender Notification

The Mandya District Co-operative Central Bank Ltd., Mandya (MDCCB) invites tender for appointing auditor to conduct Bank **Information System (IS) Audit and NFS-OSG (National Financial Switch Operating and Settlement Guidelines) Audit.**

The interested tendered may collect the Request for Proposal (RFP)/ tender document in person at above mentioned address/website between **23/11/2022 to 05/12/2022.** by paying **Rs. 1,180/-** of tender documents in the form of a demand draft(DD) in favour of "CEO, The Mandya DCC Bank Ltd," payable at Mandya or RTGS/NEFT.

Last date of submission of Bid: **5th December 2022, 5.00pm**

Date of tender opening (Technical and commercial): **06th December 2022.**

Priority would be given to the bidder with experience in the concern field.

Sd/-

Chief Executive Officer



MANDYA DISTRICT
CO-OPERATIVE CENTRAL BANK LTD.

Request for Proposal / Tender Document
For
Appointment of Auditor for conducting
Information System (IS) Audit and NFS-OSG (National
Financial Switch Operating and Settlement Guidelines)
Audit

Tender Ref: MDCC/COMP/50/2022-23

Dated: 23/11/2022

Last Date for Submission: 05-12-2022
Before: 5.00 p.m.

Mandya District Co-Operative Central Bank Ltd.,
Head Office, Post Box No: 14, Market Road, MANDYA – 571401
Phone: 08232-226010/11

1. BID CONTROL SHEET:

Sr. No	Particulars	Details
1	Project Name	Information system (IS) audit and Audit of NPCI Products as per NFS-OSG guidelines.
2	Tender Inviting Bank	Mandya District Co-Operative Central Bank Ltd., Mandya
3	RFP Reference No. & Date	MDCCB/COMP/50/2022-23 Date:23-11-2022
4	Availability of RFP Documents	RFP document can be downloaded from the bank website www.mandyadccb.com
5	Date of Issuance of RFP	23-11-2022
6	Last Date and Time for Bid submission (on or before)	05-12-2022 up to 05:00 PM
7	Mode of Submission of Bids	Hard copy to mentioned bank address by muddam/post/courier. Copy of the Bids submission before 05-12-2022 @ 05:00 PM. (Bank is not responsible for any delay in Courier / Post Submission) Physical submission of Technical Bid (physical hard copy submission) on or before due date.
8	Submission of Technical Bid and Commercial Bids (Physical Hard Copy submission)	Bidder shall submit signed & duly Stamped Bid copy of Technical & Commercial Bids. If the original signed copy of Technical Bid and commercial bid are not received by the due date and time those will be rejected.
9	Bids Opening Date, Time	06-12-2022 at 11 A.M.
10	Address for Submission of Bids	The Chief Executive Officer Mandya District Co-Operative Central Bank Ltd., Head Office, Post Box No: 14, Market Road, MANDYA – 571401 Ph: 08232-224243

Sr. No	Particulars	Details
11	Eligibility And Client details	Please attach CISA Certification of auditor and also Client reference and contact details of customers for whom the auditor has executed the similar projects.
12	Past Experience	Must be conducted the IS/NFS-OSG Audit at least once in the last 3 years.(document should be attached)
13	Cost of tender	Cost of tender document: Rs.1,180/- {Rs. 1000 + Rs. 180 (18% GST)}

1. BANK INTRODUCTION:

The MANDYA DISTRICT CO-OPERATIVE CENTRAL BANK LTD., is a financial institution; the main objective is to provide financial support for the needy people and farmers in the rural area.

The Bank was registered on 26.10.1953 and commenced its banking business on 28.02.1954. The area of operation of the bank is confined to the entire Mandya district with a network of 55 branches apart from the head office. The bank caters more than 60% of the agricultural credit needs of the farming community in the district. With more than 230 Primary Agricultural Co-operative societies. The bank is doing a laudable service to the farmers and other communities of the district. The expertise gained by the MDCCB over the years gives them the confidence to come serve up to the expectations of their clients. A unique personal touch in service makes MDCCB different from others.

The head office controls the entire activities of the branches of MDCCB Mandya. Policy making, administration, developmental plans etc are handled at HO.

2. Objectives of RFP:

The Bank wishes to appoint competent service provider for conducting an IS and NFS-OSG Audit of its IT Security architecture and Information System resources and infrastructure with the major objectives of evaluation of internal system and control for

- Safeguarding of Information System Assets/Resources
- Maintenance of Data Integrity, Reliability and Confidentiality Maintenance System Effectiveness.
- Ensuring System Efficiency.

3. **Scope of RFP:** Information System (IS) and Audit of NPCI products as per NFS-OSG (National Financial Switch Operating and Settlement Guidelines) Audit should be based on globally accepted standards and NABARD, NPCI and RBI guidelines/ circulars.

Information System Audit should cover the following areas:

Recommendations and Suggestions to improve Information System Security:

- A. Audit of Core Banking Software of our Bank consisting of (1) Financial transaction controls (2) Non-financial transaction controls (3) User administration and maintenance (4) User logical access controls (4) Parameterization/configuration controls (5) GL account Vs transaction controls (6) P&L accounts Vs transaction controls (7) Rate of Interest controls (8) KYC and UCIC controls, etc.,
- B. Operational Security Aspects.
Logical Security Aspects.
Physical Security Aspects.
- C. Hardware, Software & Network Components
 - 1. Branch Network
 - 2. CBS Software Architecture
 - 3. Computer Network For data transmission
 - 4. Operating System
 - 5. Power Backup
 - 6. Infrastructure Ownership
- D. Excerpts from the agreement between Bank and CBS vendor.
- E. The cyber security posture of the Bank Vs. cyber security Governance referring RBI guideline 63 Dtd.18-Oct-2018, RBI-129 Dtd 31-Dec-2019, NABARD-3182 Dtd. 06-Feb2020.
- F. Detailed IS Audit Report as per RBI's Checklist for IS Audit:
 - 1. IT Security Policy
 - 2. Implementation of Security Policy
 - 3. IS Audit Guidelines
 - 4. Acquisition and Implementation Of packaged Software
 - 5. Physical Access Control
 - 6. Operating System Control
 - 7. Application System Control
 - 8. Database Controls
 - 9. Network Management
 - 10. Maintenance
- G. Branch Wise IS Audit Reports :

We have Total 55 Branches and Head Office. We have plan to conduct IS Audit in Head Office and selected 8 Branches So Total 9 offices.

G. IS Audit Certification and Risk Score Sheet. The Risk Score sheet/Report must define risk as low, medium, high and very high for every control tested and checked by auditor as per RBI and NABARD guidelines.

H. Compliance Security Controls and Other Security Controls.
And etc.

Audit of NPCI products as per NFS OSG (National Financial Switch Operating and Settlement Guidelines) guidelines.

- (1) Distinct separate audit report for each of the NPCI implemented products is required.
- (2) The scope for above is: - NPCI products implemented by Bank are: - RTGS/NEFT module/functions, CMS card management system for card maintenance, NACH, CTS, DBT.

Confidentiality:

Maintain and use the Confidential Information only for the purposes of this Contract and only as permitted.

4. Commercial format

<u>Sl no.</u>	<u>Descriptions</u>	<u>Amount</u>	<u>Taxes</u>	<u>Total</u>
<u>1</u>	Information System (IS)			
<u>2</u>	Audit of NPCI products as per NFS-OSG guidelines.			

Time Line: We have plan to conduct IS Audit before jan 2023.